

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 23 2003
PATENT & TRADEMARK OFFICE
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re Patent Application of)
Stephen H. Diaz) Group Art Unit: Unassigned
Application No.: 10/668,125) Examiner: Unassigned
Filed: September 22, 2003) Confirmation No.: Unassigned
For: METHOD AND APPARATUS FOR)
LOADING A BENEFICIAL AGENT)
INTO AN EXPANDABLE MEDICAL)
DEVICE)

**FIRST INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Mail Stop: IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

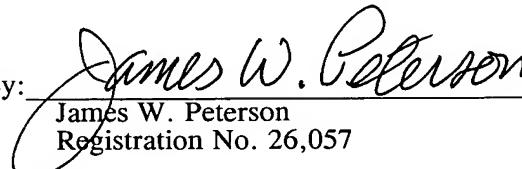
- No additional fee for submission of an IDS is required.
- The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:


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Date: October 21, 2003



Patent
Attorney's Docket No. 032304-076

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In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

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The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later.

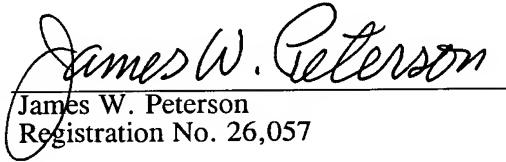
Since the documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b) no fee or statement is required.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: October 21, 2003

By: 

James W. Peterson
Registration No. 26,057

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Substitute for forms 1449A/PTO & 1449B/PTO		ATTORNEY'S DKT No. 032304-076	APPLICATION NO. 10/668,125
FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Stephen H. Diaz	
		FILING DATE September 22, 2003	GROUP Unassigned

U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450

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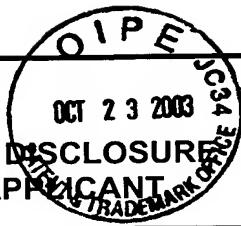
NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	

Examiner Signature	Date Considered

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ATTORNEY'S DKT No.
032304-076APPLICATION NO.
10/668,125

APPLICANT

Stephen H. Diaz

FILING DATE

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Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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Substitute for forms 1449A/PTO & 1449B/PTO		ATTORNEY'S DKT No. 032304-076	APPLICATION NO. 10/668,125
FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Stephen H. Diaz	
		FILING DATE September 22, 2003	GROUP Unassigned



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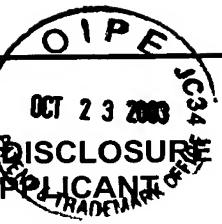
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FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Stephen H. Diaz	
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OCT 23 2003

ATTORNEY'S DKT NO.
032304-076APPLICATION NO.
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STATEMENT BY APPLICANT

APPLICANT

Stephen H. Diaz

FILING DATE

September 22, 2003

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FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Stephen H. Diaz	
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OCT 23 2013

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ATTORNEY'S DKT No. 032304-076	APPLICATION No. 10/668,125
APPLICANT Stephen H. Diaz	
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